DFW



PATENT Customer No. 22,852 Attorney Docket No. 08613.0002

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

ın re <i>F</i>	Application of:			
Dougl	as James LITTLE et al.	) ) Group Art Unit: 2164		
Applic	ation No.: 09/991,914	Examiner: Unknown		
Filed:	November 26, 2001			
For:	METHODS AND APPARATUS FOR DEVELOPING INVESTMENTS	Confirmation No.: 7544		
Comm	nissioner for Patents			

P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed international search report documents are enclosed along with a copy of the search report.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: February 22, 2005

14			TPE		_
IDS Form PTO/SB/08: Substitute for form 1449A PTO FEB 2 2 2005				Complete if Known	
Ĺ		FE	B S S mm 6	Application Number	09/991,914
i inf	ORMATION D			Filing Date	November 26, 2001
STA	TEMENT BY	APPI NO	NT &	First Named Inventor	Douglas James LITTLE et al.
317	ATEMENT BY	YLL LIGHT	TRADENA	Art Unit	2164
(Use as many sheets as necessary)				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	08613.0002

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS						
Examiner	Cite No.1	Document Number	Issue or	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where		
Initials		Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Relevant Passages or Relevant Figures Appear		
		US-5,761,442	06-02-1998	Barr et al.			
-							

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>		
		WO 95/27945	10-19-1995					
			-		_			

NON PATENT LITERATURE DOCUMENTS				
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		International Search Report, PCT/AU02/01363.		

Examiner	Date		
Signature	 Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.